MJ:mgs 11/14/03 234878 Attorney Reference Number 6333-67325 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dickey et al. Application No. Not yet assigned

Filed: Herewith

 $m{\psi}$, $m{v}$

Confirmation No. Not yet assigned

For: METHODS AND APPARATUS FOR THE

PRODUCTION OF OPTICAL FILTERS

Examiner: Not yet assigned Art Unit: Not yet assigned

Attorney Reference No. 6333-67325

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)(3)

MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Copies of the references listed on the Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the Patent Office in a prior application, serial no. 09/773,433, filed on January 31, 2001, which is relied on in the present application for an earlier filing date under 35 U.S.C. § 120.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS.

EXPRESS MAIL LABEL NO. EV 339207843 US DATE OF DEPOSIT: November 14, 2003

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However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A duplicate copy of this Information Disclosure Statement is enclosed.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

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By

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INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

6333-67325		
Not yet assigned		
Herewith		
Dickey		
Not yet assigned		
Not yet assigned		

U.S. PATENT DOCUMENTS

Cite No. (optional)	Number	Date	Name
	4,058,430	11/15/77	Suntola et al.
	4,389,973	6/28/83	Suntola et al.
	5,091,320	2/25/92	Aspnes et al.
	5,257,132	10/26/93	Ceglio et al.
	5,321,713	6/14/94	Khan et al.
	5,438,952	8/8/95	Otsuka
	5,458,084	10/17/95	Thorne et al.
!	5,677,594	10/14/97	Sun et al.
	5,711,811	1/27/98	Suntola et al.
	5,712,528	1/27/98	Barrow et al.
	5,785,756	7/28/98	Lee
	5,804,919	9/8/98	Jacobsen et al.
	5,872,655	2/16/99	Seddon et al.
	6,449,403	9/10/02	Cush et al.
		(optional) 4,058,430 4,389,973 5,091,320 5,257,132 5,321,713 5,438,952 5,458,084 5,677,594 5,711,811 5,712,528 5,785,756 5,804,919 5,872,655	(optional) Number Date 4,058,430 11/15/77 4,389,973 6/28/83 5,091,320 2/25/92 5,257,132 10/26/93 5,321,713 6/14/94 5,438,952 8/8/95 5,458,084 10/17/95 5,677,594 10/14/97 5,711,811 1/27/98 5,712,528 1/27/98 5,785,756 7/28/98 5,804,919 9/8/98 5,872,655 2/16/99

EXAMINER	DATE
SIGNATURE:	CONSIDERED:

^{*} Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

MJ:mgs - ¥1/14/03 234880 Attorney Reference Number 6333-67325 PA/TENT

				Attorn	ey Docket Number	6333-67325
INFORMATION DISCLOSURE STATEMENT			Application Number		Not yet assigned	
			Filing Date		Herewith	
BY APPLICANT				First N	amed Inventor	Dickey
				Art Unit		Not yet assigned
					ner Name	Not yet assigned
		FOREIGN I	PATENI	DOCU	JMENTS	
Examiner's Initials*	Cite No. (optional)	Number	Date			Country
		JP59045408	3/14/8	4	Japan	
		JP6196809	7/15/9	4	Japan	
		JP62002213	1/8/8	7	Japan	
Examiner's Initials*	Cite No. (optional)	OTHER DOCUMENTS				
		T. Suntola, "Cost-effective Processing By Atomic Layer Epitaxy," Thin Solid Films, 225:96-98 (1993).				
		T. Suntola, "Atomic Layer Epitaxy," Thin Solid Films, 216:84-89 (1992).				9 (1992).
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EXAMINER	DATE
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